

HAYWOOD

SECURITIES (USA) INC.

ADMINISTRATION FEE SCHEDULE

Effective: March 2016

Account Transfer Out	Full	\$125
	Partial	\$35/security
Certificate Withdrawal	Regular	\$25 + Cost
	Rush	\$50 + Cost
Certificate Deposit		Cost
Foreign Securities Deposit/Transfer		Cost
Certificate Replacement		Cost
Safekeeping		\$10 per security/account/month
Securities Borrowing		Cost + Interest Adjustment
Foreign Exchange Transaction		daily spot rate \pm 100 points
Pre-Settlement Cheque		0.5% (\$10 min)
Cheque Certification		\$50
Dishonoured Payment		\$50
Stop Payment		\$30
Wire Transfer Out	Canadian	\$35
	US	US\$35
	International	\$45
3rd Party Charges	Cheque Approval	\$10
	Internal Transfer	\$35/security
Courier/Mail Charges	Canadian Courier	\$30
	US Courier	\$55
	International Courier	\$65
	Registered Mail	\$20
Issuer Material Mailings		\$5 + Cost
Inactive Account		\$100
Record Searches		\$25 + \$1.00 per item
Special Handling		\$50/hour + Cost (\$50 min)
Certificate Restrictive Legend Removal		\$150 USD
Statement Re-print from Previous Clearing Dealers		\$25 per month / \$250 per year

*If applicable, Carrying Broker's Fee Schedule
will supersede the above noted fees*

3rd Party Vendor pass through charges may apply

Taxes applicable on most fees - charged separately

All fees in Canadian currency unless otherwise noted